Locally recoverable codes over finite chain rings

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IDEA: It is possible to recover an erased coordinate by only looking at a subset of the coordinates

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Linear codes over finite chain rings

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Basic definitions

Let ${\boldsymbol R}$ be a finite ring. Let

- R^* be the group of units of R;
- $S \subseteq R^*$. We say S is subtractive if for all $a, b \in S$ we have $a b \in R^*$.

We are interested in codes over rings.

Definition: Ring-linear code

Let R be a finite chain ring.

- A linear code C of length n in the alphabet R is a submodule of R^n ;
- A free code C is a free submodule of R^n .

Ring-Linear codes: Parameters 1

	Classical linear codes	Ring-linear codes	
Alphabet	Finite field \mathbb{F}_q	Finite chain ring R	
Linear code \mathcal{C}	k -dim. subspace of \mathbb{F}_q^n	Submodule of \mathbb{R}^n	
Code length	n	n	
Code minimum distance	d	d	
Code dimension	k	??	

The rank is one of the analogs of the dimension for classical codes:

Rank

The rank of C is the minimum K such that there exists a monomorphism

 $\phi\colon C\to R^K\,$ as R-modules .

Introduction to locally recoverable codes

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LRC: definition

The goal of local recovery is to retrieve data using a fraction of the codeword's information. Let $C \subseteq R^n$ be a code and $c = (c_1, \ldots, c_n) \in C$.

Locally Recoverable Codes (LRC)

• The *i*th coordinate has *locality* r if there exists $S_i \subseteq \{1, \ldots, n\} \setminus i$, $|S_i| \leq r$, and a map $\Phi_i \colon R^{S_i} \to R$ such that for any $c \in C$

$$c_i = \Phi_i(c\big|_{S_i}).$$

- S_i is a *recovering set* for *i*.
- C is a *locally recoverable code with locality* r if each coordinate has locality r.

If c is error-free except for an erasure at i, we can retrieve c by only examining the coordinates in S_i .

LRC bound

The research mainly aimed at:

Stablishing bounds on the minimum distance¹

Bound on the minimum distance for an LRC code Let C be an (n, k)-code with locality r over \mathbb{F}_q . Then

$$d \le n - k - \left\lceil \frac{k}{r} \right\rceil + 2 \; .$$

A code that achieves the bound is called *optimal LRC*.

¹Parikshit Gopalan et al. (2012). "On the locality of codeword symbols". In: *IEEE Transactions on Information theory* 58.11, pp. 6925–6934.

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Locally recoverable codes over finite fields

Oeveloping techniques for constructing optimal LRC codes:

- Using Vandermonde matrices;²
- Using elliptic curves;³
- Using particular types of polynomials over \mathbb{F}_q .⁴

²Chaoping Xing and Chen Yuan (2018). "Construction of optimal locally recoverable codes and connection with hypergraph". In: *arXiv preprint arXiv:1811.09142*.

³Xudong Li, Liming Ma, and Chaoping Xing (2018). "Optimal locally repairable codes via elliptic curves". In: *IEEE Transactions on Information Theory* 65.1, pp. 108–117.

⁴Itzhak Tamo and Alexander Barg (2014). "A family of optimal locally recoverable codes". In: *IEEE Transactions on Information Theory* 60.8, pp. 4661-4676. The second s

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LRC bound for Ring-linear codes

Let ${\boldsymbol R}$ be a finite chain ring.

Bound on the minimum distance for an R-linear LRC code Let C be an R-linear code of length n, rank K and locality r. Then

$$d \le n - K - \left\lceil \frac{K}{r} \right\rceil + 2 \; .$$

A Tamo-Barg-like construction method allows to gain optimal LRC over finite chain rings. $^{\rm 5}$

⁵Giulia Cavicchioni, Eleonora Guerrini, and Alessio Meneghetti (2023). "A class of locally recoverable codes over finite chain rings". preprint: https://arxiv.org/abs/2401.05286.

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Polynomials over rings

Polynomial reconstruction is not well-defined for rings...

Well-conditioned sets

A set $\{a_1, \ldots, a_n\} \subseteq R$ is well-conditioned in R if:

• either $\{a_1, \ldots, a_n\}$ is subtractive in R^* ;

Or {a₁,...,a_{i-1}, a_{i+1},..., a_n} is subtractive in R* and a_i is a zero-divisor or a_i = 0.

...But it is well-defined over well-conditioned sets:

Polynomial interpolation over rings

Let $\{a_1, \ldots, a_n\}$ be a well-conditioned subset of R and let $\{y_1, \ldots, y_n\}$ be a subset of R. There exists a unique $f \in R[x]$ of degree at most n-1 such that $f(a_i) = y_i$ for all $1 \le i \le n$.

Tamo-Barg-Like construction

Good polynomials play a fundamental role in the construction.

Good polynomials

- Let $g \in R[x]$ and $l \in \mathbb{N}^+$. We say that g is (r, l)-good if:
 - Its degree is r + 1;
 - Its leading coefficient is a unit;
 - There exist A_1, \ldots, A_l distinct subsets of R such that
 - $g is constant on A_i;$
 - **2** $|A_i| = r + 1;$
 - $\ \, {\bf O} \ \, A_i \cap A_j = \emptyset \ \, \text{for any} \ \, i \neq j.$

Tamo-Barg-Like codes

- Let $A = \bigcup_{i=1}^{l} A_i$ be a well-conditioned set in R, $|A_i| = r + 1$ for all i;
- $g(x) \in R[x]$ be an (r, l)-good polynomial on the blocks of the partition of A;
- For $t \leq l$, n = (r+1)l and K = rt;
- Let $a=(a_{i,j},\ 0\leq i\leq r-1,\ 0\leq j\leq t-1)\in R^K$ be a message vector;
- The encoding polynomial of a is $f_a(x) = \sum_{i=0}^{r-1} \sum_{j=0}^{t-1} a_{i,j}g(x)^j x^i$;
- We define the code as

$$C = \left\{ (f_a(\alpha), \ \alpha \in A) \mid a \in R^K \right\} \,.$$

Code parameters

C is a free $(n, {\cal K}, r)\text{-}{\rm code}$ which is optimal LRC.

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Open problems

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The main problem affecting the previous construction is the constraint on the code length.

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Code parameters

C is free $\left(n,K,r\right)\text{-code}$ which is optimal.

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- The set $A = \bigcup_{i=1}^{l} A_i$ must be well-conditioned;
- 2 r+1 has to divide n.

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- **2** r+1 has to divide n.

Here an overview on three generalizations with $R = GR(p^s, m)$:

	Gen. 1	Gen. 2	Gen. 3
Constraint removed	(r+1) n	(r+1) n	A well-conditioned
Maximum length	$p^m - 1$	$p^m - 1$	$ R^* = p^{s-1}(p^m - 1)$
Block length	$ A_l = s < r+1$	$r+ ho-1,\ ho\geq 3$	r+1
Code minimum distance	$d \geq n-K-\tfrac{K}{r}+1$	$d = n - K + 1 - (\frac{K}{r} - 1)(\rho - 1)$	$d = n - p^{s-1} \left(K + \frac{K}{r} - 2 \right)$
Optimality	Almost optimal	Optimal	?
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Bound on the maximum length of an LRC code over ${\cal R}$

For a finite chain ring R let $\mathbb{K} = R/M$ where M is the maximal ideal of R. Let C be an R-linear code and let \overline{C} be its projection over \mathbb{K} .

Parameters of $ar{C}$					
	C	\bar{C}			
Alphabet	free over R	Linear over ${\mathbb K}$			
Length	n	n			
Rank / Dimension	K	K			
Locality	r	$\bar{r} \leq r$ s.t. $\left\lceil \frac{K}{\bar{r}} \right\rceil = \left\lceil \frac{K}{r} \right\rceil$			
Minimum distance	$d = n - K - \left\lceil \frac{K}{r} \right\rceil + 2$	$d = n - K - \left\lceil \frac{K}{r} \right\rceil + 2$			

Bound on the maximum length of an LRC code over ${\cal R}$

The problem of determining the maximum possible length of an optimal LRC over a ring is closely related to the same problem over fields⁶.

Maximum lenght of an optimal LRC

Let C be an (n,k)-code with locality r over \mathbb{F}_q .

- if d = 2, 3, 4 optimal LRCs with unbounded exist;
- If $d \ge 5$, one cannot have unbounded length optimal LRCs;
- In particular, if d = 5 then $n \leq \mathcal{O}(q^2)$.

⁶Venkatesan Guruswami, Chaoping Xing, and Chen Yuan (2019). "How Long Can Optimal Locally Repairable Codes Be?" In: *IEEE Transactions on Information Theory* 65.6, pp. 3662–3670. DOI: 10.1109/TIT.2019.2891765.<

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Existence of good polynomials

Over finite fields, various techniques for designing good polynomials are ${\rm known.}^7$

Good polynomials over well-conditioned sets of a ring exist.

A class of good polynomials

A class of good polynomial over R can be constructed from class of good polynomials over $\mathbb K$ using Hensel lifting.

? Are there other interesting classes of good polynomials?

⁷Giacomo Micheli (2019). "Constructions of locally recoverable codes which are optimal". In: *IEEE transactions on information theory* 66.1, pp. 467–175. A provide the set of the set of

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Thank you for your attention!