# PIR Codes, Unequal-Data-Demand Codes, and the Griesmer Bound

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In this presetation,

$$\mathbb{F}_q$$
 denotes the  $q\text{-element}$  finite field; 
$$[n] = \{1,2,\ldots,n\};$$

given a vector  $\mathbf{s}$ , we denote by  $s_j$  its *j*-th component.

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An important parameter of C is its Hamming distance  $d = \min\{w(u) \mid u \in C \setminus \{0\}\}$  where w(u) equals the number of non-zero components of u. If d is known, we also call C an  $[n, k, d]_q$  error-correction code.

A Private Information Retrieval (PIR) *scheme* stores a database in encoded form on a multi-server distributed data storage system in such a way that a user can extract a bit of information from the database without leaking information about which particular bit the user was interested in.

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PIR *codes* are a way to make this process more efficient by only storing a part of the data in each server while still allowing for the scheme to work.

So PIR codes are used to reduce the storage overhead in the classic PIR scheme.

## Definition (PIR Codes)

Given a (one-to-one) encoder map  $\epsilon \colon \mathbb{F}_q^k \to \mathbb{F}_q^n$ , a set of positions  $I = (i_1, \ldots, i_s) \subseteq [n]$  is called a recovery set for the j-th data symbol if the restriction  $\mathbf{c}_I = (c_{i_1}, c_{i_2}, \ldots, c_{i_s})$  of a codeword  $\mathbf{c} = \epsilon(a)$  uniquely determines the j-th data symbol  $a_j$ . The encoder map  $\epsilon$  is a t-PIR code if there exists for every  $j \in [k]$  a collection of t disjoint recovery sets for the j-th data symbol.

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We say that a  $k \times n$  matrix **G** with entries from  $\mathbb{F}_q$  is a (linear) *t*-PIR code if the corresponding encoder  $\epsilon : \mathbf{a}^\top \to \mathbf{a}^\top \mathbf{G}$  is *t*-PIR. In that case we say that **G** generates a *t*-PIR code, or that **G** is *t*-PIR.

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Note that being *t*-PIR is a property of the *encoder* of the code.

#### Example

Let q = 2, and let C be the binary linear code with (linear) encoder  $\epsilon \colon \mathbf{a}^\top \to \mathbf{a}^\top \mathbf{G}$ , where

$$\mathbf{G} = \begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}.$$

Then the first data symbol has recovery sets  $\{1\}$ ,  $\{2,3\}$ ,  $\{4\}$  and the second data symbol has recovery sets  $\{2\}$  and  $\{1,3\}$ . As it's easy to see that the second data symbol cannot have three recovery sets, **G** is 2-PIR.

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#### Example

We can define the encoder  $\epsilon$  to map (a, b) to (a, a, a, b). Now, clearly the first coordinate is more protected than the second.

#### Definition

For an encoder  $\epsilon\colon \mathbb{F}_q^k\to \mathbb{F}_q^n$ , define the separation vector  $\mathbf{s}(\epsilon)\in \mathbb{Z}_+^k$  by defining for each  $j\in [k]$ 

$$s_j(\epsilon) = \min\{d(\epsilon(\mathbf{a}), \epsilon(\mathbf{a}')) \mid \mathbf{a}, \mathbf{a}' \in \mathbb{F}_q^k, a_j \neq a_j'\}.$$

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Given a separation vector  $\mathbf{s}(\epsilon)$ , we can decode the i-th data symbol correctly by decoding to the nearest codeword if at most  $\lfloor (s_i(\epsilon)-1)/2 \rfloor$  errors have occurred.

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We denote by  $\mathbf{s}(\mathbf{G})$  the separation vector of a linear code encoded with the generating matrix  $\mathbf{G}.$ 

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#### Example

We can concatenate an  $[n_1,q^{k_1},d_1]_q$  code and an  $[n_2,q^{k_2},d_2]_q$  code  $C_2$  to form a UEP code with codewords  $(\mathbf{c}_1,\mathbf{c}_2)$ ,  $c_i\in C_i$  and a separation vector  $\mathbf{s}(\epsilon)$  for which

$$s_i(\epsilon) \geq \begin{cases} d_1 & \text{if } i \text{ is among the first } n_1 \text{ positions,} \\ d_2 & \text{if } i \text{ is among the last } n_2 \text{ positions.} \end{cases}$$

#### Example

For a code with the separation vector (3, 2), the trivial construction has length 5 as it needs two repetition codes with the encoder  $\epsilon(a, b) = aaabb$  $(a, b \in \mathbb{F}_q)$ . Now consider the linear UEP code generated by the matrix **G**:

$$\begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}$$

This has the separation vector (3,2) but its length is only 4.

t-PIR codes are designed so that up to t users can obtain each a particular data symbol from data that is stored in encoded form on a number of servers, where every server can be read off at most once.

Unequal-Data-Demand (UDD) codes enable a similar scenario, but now for the situation where some parts of the data are in higher demand than other parts.

Let  $T = (t_1, \ldots, t_k)$  where  $t_1, \ldots, t_k \in \mathbb{Z}$  with  $t_1 \ge \ldots \ge t_k \ge 0$ . An UDD T-PIR code of length n is an encoder  $\epsilon \colon \mathbb{F}_Q^k \to \mathbb{F}_q^n$  where the j-th data symbol has at least  $t_j$  mutually disjoint recovery sets for all  $j \in [k]$ .

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We can once again get a trivial construction by concatenating  $t_j\mbox{-}\mathsf{PIR}$  codes.

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We can once again get a trivial construction by concatenating  $t_j$ -PIR codes. But the same matrix **G** as before provides an example where this is not optimal.

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# Griesmer Bound

It is well known that the associated code of a  $t\mbox{-}\mathsf{PIR}$  code has distance  $d\geq t.$  A stronger result is

#### Theorem

Let C be a  $[n, q^k, d]_q$  code with encoder  $\epsilon \colon \mathbb{F}_q^k \to \mathbb{F}_q^n$  and the separation vector  $\mathbf{s}(\epsilon)$ . If  $\epsilon$  is an UDD T-PIR code, where  $T = (t_1, \dots, t_k)$  with  $t_1 \ge \dots \ge t_k \ge 0$ , then  $s_j(\epsilon) \ge t_j$  for all  $j \in [k]$ .

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The Griesmer bound for linear UEP codes now directly yields the following for UDD codes.

#### Theorem (Griesmer Bound for UDD codes)

Suppose that the  $k \times n$  matrix  $\mathbf{G}$  over  $\mathbb{F}_q$  generates a linear UDD T-PIR code, where  $T = (t_1, \dots, t_k)$  with  $t_1 \ge \dots \ge t_k \ge 0$ . Then

$$n \ge \sum_{j=1}^k \left\lceil \frac{t_j}{q^{j-1}} \right\rceil.$$

It would be nice to have an argument that would prove all these Griesmer-type bounds *simultaneously*, in a *uniform* way. We will set up an integer linear programming problem to achieve this.

The hyperplanes in  $\mathbb{F}_q^k$  and the collection of vectors  $\mathcal{P}_k$  of the form  $\mathbf{h} = (0, \dots, 0, 1, \dots)$  are in a one-to-one correspondence. The hyperplane corresponding to the vector  $\mathbf{h} \in \mathcal{P}_k$  is  $\mathbf{h}^{\perp} := \{\mathbf{a} \in \mathbb{F}_q^k : \langle \mathbf{a}, \mathbf{h} \rangle = 0\}.$ 

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For  $\mathbf{h} \in \mathcal{P}_k$ , define

$$\nu(\mathbf{h}) = \min\{j \in [k] \colon h_j \neq 0\}.$$

An immediate consequence of the definition is that  $\mathbf{h}_{\nu(\mathbf{h})} = 1$ .

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#### Theorem

Let **G** be a  $k \times n$  matrix over  $\mathbb{F}_q$  that generates a UDD T-PIR code, where  $T = (t_1, \ldots, t_k)$  with  $t_1 \ge \ldots \ge t_k \ge 0$ . Suppose **G** has  $n_i$  columns equal to  $\mathbf{i}, \mathbf{i} \in \mathbb{F}_q^k$ . Then for all  $\mathbf{h} \in \mathcal{P}_k$ , we have

$$\sum_{\langle \mathbf{i},\mathbf{h}\rangle \neq 0} n_{\mathbf{i}} \geq t_{\nu(\mathbf{h})}.$$

So for  $T = (t_1, \dots, t_k) \in \mathbb{Z}^k$  with  $t_1 \ge \dots \ge t_k \ge 0$ , define  $\nu(T)$  to be the solution the following ILP problem:

$$ILP(T) \colon \begin{cases} n_{\mathbf{i}} \in \mathbb{Z}, \; n_{\mathbf{i}} \geq 0 & (\mathbf{i} \in \mathbb{F}_q^k \backslash \{\mathbf{0}\}) \\ \sum_{\{\mathbf{i} : \; \langle \mathbf{i}, \mathbf{h} \rangle \neq 0\}} n_{\mathbf{i}} \geq t_{\nu(\mathbf{h})} & (\mathbf{h} \in \mathcal{P}_k) \\ \text{minimize} \; n = \sum_{\mathbf{i} \in \mathbb{F}_q^k \setminus \{\mathbf{0}\}} n_{\mathbf{i}}. \end{cases}$$

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According to the previous theorem, if G generates a UDD T-PIR code with  $T = (t_1, \ldots, t_k)$  and  $t_1 \ge \ldots \ge t_k \ge 0$ , then  $n \ge n - n_0 \ge \nu(T)$ . For an optimal solution, we of course take  $n_0 = 0$ .

#### Example

Let q = 2 and k = 2 and let  $T = (t_1, t_2) \in \mathbb{Z}^2$  with  $t_1 \ge t_2 \ge 0$ . Associate the numbers 1, 2, 3 with the vectors (1,0), (0,1), and (1,1), respectively. The ILP is the problem to minimize  $n = n_1 + n_2 + n_3$ , where  $n_i \ge 0$  is an integer  $(i \in [3])$  under the conditions

$$\begin{split} n_1 + n_3 &\geq t_1, \\ n_2 + n_3 &\geq t_2, \\ n_1 + n_2 &\geq t_1. \end{split}$$

Here the inequalities correspond to the hyperplanes  $(1,0)^{\top}$ ,  $(0,1)^{\top}$ , and  $(1,1)^{\top}$ , respectively. It is not difficult to see that the minimum value for n under these conditions equals  $t_1 + \left\lceil \frac{t_2}{2} \right\rceil$ .

#### Theorem

Let  $\nu(T)$  be the optimal solution to our ILP problem, where G is a  $k \times n$  matrix over  $\mathbb{F}_q$  and  $T = (t_1, \dots, t_k)$  with  $t_1 \ge \dots \ge t_k \ge 0$ . Then

$$\nu(T) \geq \sum_{j=1}^k \left\lceil \frac{t_j}{q^{j-1}} \right\rceil.$$

*Proof idea.* Induction on the dimension k.

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Let  $\mathbf{h}^{\perp}$  be a hyperplane ( $\mathbf{h} \in \mathbb{F}_q^k \setminus \{\mathbf{0}\}$ ). Consider  $\mathbf{c}^{\top} := \mathbf{h}^{\top} \mathbf{G}$ . Then  $c_j = 0$  iff  $\mathbf{h}^{\top} \mathbf{g}_j = 0$ , so  $w(\mathbf{c}) = \sum_{\langle \mathbf{h}, \mathbf{i} \rangle \neq 0} n_{\mathbf{i}}$ .

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$$\text{It follows that } \sum_{\langle \mathbf{h}, \mathbf{i} \rangle \neq 0} n_{\mathbf{i}} \geq d \text{ for every } \mathbf{h} \in \mathbb{F}_q^k \backslash \{\mathbf{0}\}.$$

Suppose that the linear UEP code is generated by a  $k\times n$  matrix G over  $\mathbb{F}_q$ . Then the separation vector  $(s_1,\ldots,s_k)$  of the code is given by

$$s_j = s_j(\mathbf{G}) = \min\{w(\mathbf{h}^\top \mathbf{G}) \colon h_j \neq 0\},$$

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Then, if  $\mathbf{h}\in \mathcal{P}_k$  with  $\nu(\mathbf{h})=j,$  we have

$$\sum_{\langle \mathbf{h}, \mathbf{i} \rangle \neq 0} n_{\mathbf{i}} = |\{l \in [n] \colon \mathbf{h}^\top \mathbf{g}_l \neq 0\}| = w(\mathbf{h}^\top \mathbf{G}) \geq s_j = s_{\nu(\mathbf{h})}.$$

Conversely, let  $(n_{\mathbf{i}})_{\mathbf{i}\in\mathbb{F}_q\setminus\{\mathbf{0}\}}$  be a feasible solution to our ILP and  $n=\sum n_{\mathbf{i}}.$ 

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Consider two code words  $\mathbf{c} = \mathbf{a}^\top \mathbf{G}$  and  $\mathbf{c}' = \mathbf{b}^\top \mathbf{G}$  in the code C generated by  $\mathbf{G}$ , and let  $\mathbf{h} = \mathbf{a} - \mathbf{b}$ . Then  $d(\mathbf{c}, \mathbf{c}') = w(\mathbf{h}^\top \mathbf{G}) \ge t_j$  if  $h_j \neq 0$ . So we can conclude that  $s_j(C) \ge t_j$  for all j.

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So the ILP problem is equivalent to finding a linear UEP code with the smallest length for which  $\mathbf{s} \geq (t_1,\ldots,t_k).$ 

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#### Thank you!

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