

# On the Recursive Behaviour of the Number of Irreducible Polynomials with Certain Properties over Finite Fields

Max Schulz

University of Rostock, Institute of Mathematics  
WCC 2024 Perugia

20.06.2024

# How it started



Gohar Kyureghyan

Fr 24.02.2023, 11:29



irred\_trace\_2022.pdf  
145 KB



Herunterladen

**Lieber Max,**

heute habe ich eine interessante Arbeit gesehen,  
sie ist im Anhang. Eventuell gibt sie dir neue  
Impulse.

Viele Grüße  
Gohar

## Omran Ahmadis Observation

$$x^5 + x^2 + 1$$

$$x^5 + x^3 + 1$$

$$x^5 + x^3 + x^2 + x + 1$$

$$x^5 + x^4 + x^2 + x + 1$$

$$x^5 + x^4 + x^3 + x + 1$$

$$x^5 + x^4 + x^3 + x^2 + 1$$

## Omran Ahmadis Observation

$$x^5 + x^2 + 1$$

$$x^5 + x^3 + 1$$

$$x^5 + x^3 + x^2 + x + 1$$

$$x^5 + x^4 + x^2 + x + 1$$

$$x^5 + x^4 + x^3 + x + 1$$

$$x^5 + x^4 + x^3 + x^2 + 1$$

- Focus on the coefficients before  $x$  and  $x^{n-1}$  of a polynomial of degree  $n$

## Omran Ahmadis Observation

$$x^5 + x^2 + 1$$

$$x^5 + x^3 + 1$$

$$x^5 + x^3 + x^2 + x + 1$$

$$x^5 + x^4 + x^2 + x + 1$$

$$x^5 + x^4 + x^3 + x + 1$$

$$x^5 + x^4 + x^3 + x^2 + 1$$

- Focus on the coefficients before  $x$  and  $x^{n-1}$  of a polynomial of degree  $n$

## Omran Ahmadi's Observation

$$x^5 + x^2 + 1$$

$$x^5 + x^3 + 1$$

$$x^5 + x^3 + x^2 + x + 1$$

$$x^5 + x^4 + x^2 + x + 1$$

$$x^5 + x^4 + x^3 + x + 1$$

$$x^5 + x^4 + x^3 + x^2 + 1$$

- Focus on the coefficients before  $x$  and  $x^{n-1}$  of a polynomial of degree  $n$
- Always the case for  $n$  odd

$n$  even

$$x^6 + x + 1$$

$$x^6 + x^3 + 1$$

$$x^6 + x^5 + 1$$

$$x^6 + x^4 + x^2 + x + 1$$

$$x^6 + x^4 + x^3 + x + 1$$

$$x^6 + x^5 + x^2 + x + 1$$

$$x^6 + x^5 + x^4 + x + 1$$

$$x^6 + x^5 + x^3 + x^2 + 1$$

$$x^6 + x^5 + x^4 + x^2 + 1$$

$n$  even

$$x^6 + x + 1$$

$$x^6 + x^3 + 1$$

$$x^6 + x^5 + 1$$

$$x^6 + x^4 + x^2 + x + 1$$

$$x^6 + x^4 + x^3 + x + 1$$

$$x^6 + x^5 + x^2 + x + 1$$

$$x^6 + x^5 + x^4 + x + 1$$

$$x^6 + x^5 + x^3 + x^2 + 1$$

$$x^6 + x^5 + x^4 + x^2 + 1$$



## Robert Grangers Result

Let  $a_i(f)$  be the  $i$ -th coefficient of the polynomial  $f$ , then

$$S_{a,b}(n) := \{f \in \mathbb{F}_2[x] \mid f \text{ irreducible and } a_{n-1}(f) = a, a_1(f) = b\}.$$

Theorem (R. Granger)

$$|S_{1,1}(n)| - |S_{0,0}(n)| = \begin{cases} 0, & n \text{ is odd} \\ |S_{1,*}(n/2)|, & n \text{ is even.} \end{cases}$$

---

<sup>1</sup>Robert Granger. „Three proofs of an observation on irreducible polynomials over GF(2)“. In: *Finite Fields and Their Applications* 88 (2023).

# Motivation

- Rewriting R. Grangers result gives

$$\begin{aligned} |S_{1,*}(n)| - |S_{0,*}(n)| &= (|S_{1,1}(n)| + |S_{1,0}(n)|) - (|S_{0,1}(n)| + |S_{0,0}(n)|) \\ &= |S_{1,1}(n)| - |S_{0,0}(n)|. \end{aligned}$$

## Motivation

- Rewriting R. Grangers result gives

$$\begin{aligned} |S_{1,*}(n)| - |S_{0,*}(n)| &= (|S_{1,1}(n)| + |S_{1,0}(n)|) - (|S_{0,1}(n)| + |S_{0,0}(n)|) \\ &= |S_{1,1}(n)| - |S_{0,0}(n)|. \end{aligned}$$

- Thus

$$|S_{1,*}(n)| - |S_{0,*}(n)| = \begin{cases} 0, & n \text{ is odd} \\ |S_{1,*}(n/2)|, & n \text{ is even} \end{cases}$$

## Motivation

- Rewriting R. Grangers result gives

$$\begin{aligned} |S_{1,*}(n)| - |S_{0,*}(n)| &= (|S_{1,1}(n)| + |S_{1,0}(n)|) - (|S_{0,1}(n)| + |S_{0,0}(n)|) \\ &= |S_{1,1}(n)| - |S_{0,0}(n)|. \end{aligned}$$

- Thus

$$|S_{1,*}(n)| - |S_{0,*}(n)| = \begin{cases} 0, & n \text{ is odd} \\ |S_{1,*}(n/2)|, & n \text{ is even} \end{cases}$$

- We were familiar with that kind of behaviour but in another context, can we find a connection?

# Rational Transformations

Let  $F \in \mathbb{F}_q[x]$  and  $Q = g/h \in \mathbb{F}_q(x)$ , then

$$F^Q(x) := \lambda_{g,h,F} h(x)^{\deg(F)} \cdot F\left(\frac{g(x)}{h(x)}\right).$$

# Rational Transformations

Let  $F \in \mathbb{F}_q[x]$  and  $Q = g/h \in \mathbb{F}_q(x)$ , then

$$F^Q(x) := \lambda_{g,h,F} h(x)^{\deg(F)} \cdot F\left(\frac{g(x)}{h(x)}\right).$$

## Lemma (Cohen)

Let  $F \in \mathbb{F}_q[x]$  and  $Q = g/h \in \mathbb{F}_q(x)$  with  $\gcd(g, h) = 1$ . Then  $F^Q$  is irreducible over  $\mathbb{F}_q[x]$  if and only if  $F \in \mathbb{F}_q[x]$  is irreducible and  $g - \alpha h \in \mathbb{F}_q(\alpha)[x]$  is irreducible, where  $\alpha \in \overline{\mathbb{F}_q}$  a root of  $F$ .

---

<sup>2</sup>Stephen D. Cohen. „On irreducible polynomials of certain types in finite fields“. In: *Mathematical Proceedings of the Cambridge Philosophical Society* 66.2 (1969), S. 335–344.

# Yes/No

Fix a rational function  $Q = g/h$ .

# Yes/No

Fix a rational function  $Q = g/h$ .

- We partition the set of irreducible monic polynomials  $\mathcal{I}_q^n$  of degree  $n$  into

$$\text{Yes}(Q, n) := \{f \in \mathcal{I}_q^n \mid f^Q \text{ is irreducible}\}$$

$$\text{No}(Q, n) := \{f \in \mathcal{I}_q^n \mid f^Q \text{ is not irreducible}\}.$$



# Yes/No

Fix a rational function  $Q = g/h$ .

- We partition the set of irreducible monic polynomials  $\mathcal{I}_q^n$  of degree  $n$  into

$$\text{Yes}(Q, n) := \{f \in \mathcal{I}_q^n \mid f^Q \text{ is irreducible}\}$$

$$\text{No}(Q, n) := \{f \in \mathcal{I}_q^n \mid f^Q \text{ is not irreducible}\}.$$

- In general hard to describe, since deciding whether  $g - \alpha h$  is irreducible can be difficult

## A Change of (personal) Perspective

In the past:

- Only interested in the irreducible polynomials  $f^Q$  for  $f$  an irreducible polynomial of degree  $n$
- ... because all invariant irreducible polynomials are special rational transformations

Now:

- Also interested in the irreducible polynomials  $f$  of degree  $n$  for which  $f^Q$  is irreducible

---

<sup>3</sup>Lucas Reis. „Möbius-like maps on irreducible polynomials and rational transformations“. In: *Journal of Pure and Applied Algebra* 224 (Mai 2019), S. 169–180.

## A Theorem for Yes/No

A quotient map  $Q_G \in \mathbb{F}_q(x)$  for a subgroup  $G \leq \text{PGL}_2(\mathbb{F}_q)$  is a rational function that generates the subfield

$$\mathbb{F}_q(x)^G := \left\{ Q \in \mathbb{F}_q(x) \mid Q\left(\frac{ax+b}{cx+d}\right) = Q(x) \text{ for all } \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in G \right\}$$

### Theorem (Sch.)

Let  $G \leq \text{PGL}_2(\mathbb{F}_q)$  be a cyclic subgroup of prime order  $s$  and  $Q_G$  a quotient map for  $G$ . For all  $n > d(G)$  we have

$$|\text{Yes}(Q_G, n)| - (s-1)|\text{No}(Q_G, n)| = \begin{cases} 0, & \text{if } s \nmid n \\ |\text{Yes}(Q_G, n/s)|, & \text{if } s \mid n. \end{cases}$$

---

<sup>4</sup>Max Schulz. *On the Recursive Behaviour of the Number of Irreducible Polynomials with Certain Properties over Finite Fields*. 2023. arXiv: 2310.01872 [math.NT].

## We have a recipe, so what now?

Are there particular instances of quotient maps  $Q_G$  for which  $Yes(Q_G, n)$  and  $No(Q_G, n)$  can be described in a "nice" arithmetical way?

## Onto a Generalization of R. Grangers Result

Let  $p$  be prime and  $q = p^t$ . Let  $f \in \mathbb{F}_q[x]$  be an irreducible monic polynomial of degree  $n$ , then we set

$$\text{Tr}(f) := -\text{Tr}_{q^n/p}(\alpha)$$

where  $\alpha \in \mathbb{F}_{q^n}$ .

## Onto a Generalization of R. Grangers Result

Let  $p$  be prime and  $q = p^t$ . Let  $f \in \mathbb{F}_q[x]$  be an irreducible monic polynomial of degree  $n$ , then we set

$$\text{Tr}(f) := -\text{Tr}_{q^n/p}(\alpha)$$

where  $\alpha \in \mathbb{F}_{q^n}$ .

- Be careful! It's the absolute trace!

## Onto a Generalization of R. Grangers Result

Let  $p$  be prime and  $q = p^t$ . Let  $f \in \mathbb{F}_q[x]$  be an irreducible monic polynomial of degree  $n$ , then we set

$$\mathrm{Tr}(f) := -\mathrm{Tr}_{q^n/p}(\alpha)$$

where  $\alpha \in \mathbb{F}_{q^n}$ .

- Be careful! It's the absolute trace!
- If  $q = p$ , then  $\mathrm{Tr}(f) = a_{n-1}(f)$ .

## Onto a Generalization of R. Grangers Result

Let  $p$  be prime and  $q = p^t$ . Let  $f \in \mathbb{F}_q[x]$  be an irreducible monic polynomial of degree  $n$ , then we set

$$\text{Tr}(f) := -\text{Tr}_{q^n/p}(\alpha)$$

where  $\alpha \in \mathbb{F}_{q^n}$ .

- Be careful! It's the absolute trace!
- If  $q = p$ , then  $\text{Tr}(f) = a_{n-1}(f)$ .
- In general  $\text{Tr}(f) = \text{Tr}_{q/p}(a_{n-1}(f))$ .



## Onto a Generalization of R. Grangers Result

Let  $\mathcal{I}_q^n$  be the set of irreducible monic polynomials in  $\mathbb{F}_q[x]$  of degree  $n$ . Define for  $a \in \mathbb{F}_p$

$$S_a(n) := \{f \in \mathcal{I}_q^n \mid \text{Tr}(f) = a\}.$$

### Theorem (Sch.)

For all  $n \in \mathbb{N} \setminus \{0\}$  and all finite fields  $\mathbb{F}_q$  we have

$$\sum_{a \in \mathbb{F}_p^*} |S_a(n)| - (p-1)|S_0(n)| = \begin{cases} 0, & \text{if } p \nmid n \\ \sum_{a \in \mathbb{F}_p^*} |S_a(n/p)|, & \text{if } p \mid n. \end{cases}$$

## Choosing the right Subgroups and Quotient Maps

The rational function  $Q_G(x) = x^p - x$  is a quotient map for a cyclic subgroup of order  $p = \text{char}(\mathbb{F}_q)$  and

$f(x^p - x)$  is irreducible  $\Leftrightarrow$

$f$  is irreducible and  $x^p - x - \alpha$  is irreducible in  $\mathbb{F}_q(\alpha)$

where  $\alpha \in \overline{\mathbb{F}_q}$  is a root of  $f$  (Capelli/Cohens Lemma!). The polynomial  $x^p - x - \alpha \in \mathbb{F}_{q^n}[x]$  is irreducible if and only if  $\text{Tr}_{q^n/p}(\alpha) \neq 0$  due to Varshamov. Thus

$$\text{Yes}(x^p - x, n) = \bigcup_{a \in \mathbb{F}_p^*} S_a(n)$$

$$\text{No}(x^p - x, n) = S_0(n).$$

## And another one

Let  $q$  be odd and  $u, v \in \mathbb{F}_q$  with  $u \neq v$ . Consider

$$C_{u,v}(n) := \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a non-square in } \mathbb{F}_q\}$$

$$D_{u,v}(n) := \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a square in } \mathbb{F}_q\}.$$

## And another one

Let  $q$  be odd and  $u, v \in \mathbb{F}_q$  with  $u \neq v$ . Consider

$$C_{u,v}(n) := \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a non-square in } \mathbb{F}_q\}$$

$$D_{u,v}(n) := \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a square in } \mathbb{F}_q\}.$$

### Theorem (Sch.)

Let  $q$  be odd. For all  $u, v \in \mathbb{F}_q$  with  $u \neq v$  and  $n > 1$  we have

$$|C_{u,v}(n)| - |D_{u,v}(n)| = \begin{cases} 0, & \text{if } 2 \nmid n \\ |C_{u,v}(n/2)|, & \text{if } 2 \mid n. \end{cases}$$

## Choosing the right Subgroups and Quotient Maps

### Theorem (Sch.)

Let  $q$  be odd. For all  $u, v \in \mathbb{F}_q$  with  $u \neq v$  and  $n > 1$  we have

$$|C_{u,v}(n)| - |D_{u,v}(n)| = \begin{cases} 0, & \text{if } 2 \nmid n \\ |C_{u,v}(n/2)|, & \text{if } 2 \mid n. \end{cases}$$

The rational function

$$Q_G(x) = \frac{x^2 - uv}{2x - (u + v)}$$

is a quotient map for a subgroup of order 2 and it can be shown that

$$\text{Yes}(Q_G, n) = \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a non-square in } \mathbb{F}_q\}$$

$$\text{No}(Q_G, n) = \{f \in \mathcal{I}_q^n \mid f(u) \cdot f(v) \text{ is a square in } \mathbb{F}_q\}.$$

## Pros & Cons of our Approach

- Pros:**
- Gives a recipe for proving and **finding** recursive relations of irreducible polynomials
  - Reveals that there's an underlying symmetry that forces these theorems to hold
  - Shows that perspectives matter
- Cons:**
- A lot of theory and notations to digest
  - There are easier proofs for both instances I showed you
  - The defining arithmetical properties for  $Yes(Q_G, n)$ ,  $No(Q_G, n)$  that we know of are not so diverse (trace, square/non-square or power/non-power conditions).