Exceptional scattered polynomials in odd degree

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Joint work with Massimo Giulietti

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Exceptional scattered polynomials

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• Codes: \mathbb{F}_q -subspaces of $\mathbb{F}_q^{n \times n}$

Rank weight: wt(A) = rk(A)

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- Isomorphism of \mathbb{F}_q -algebras: $\mathbb{F}_q^{n \times n} \cong \mathcal{L}_{n,q}$

$$\mathcal{L}_{n,q} = \frac{\left\{\sum_{i} a_{i} x^{q^{i}} : a_{i} \in \mathbb{F}_{q^{n}}\right\}}{\langle x^{q^{n}} - x \rangle}$$

 \implies codes in $\mathcal{L}_{n,q}$ $\operatorname{wt}(f) = \dim_{\mathbb{F}_q}(\operatorname{Im}(f))$ $f: \mathbb{F}_{q^n} \to \mathbb{F}_{q^n}$

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- \mathbb{F}_{q^n} -linear codes: \mathbb{F}_{q^n} -subspaces of $\mathcal{L}_{n,q}$
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• 2-dim. \mathbb{F}_{q^n} -linear MRD codes:

 $\dim_{\mathbb{F}_q}(\operatorname{Ker}(f)) \leq 1$ for all $f \in C = \langle g(x), h(x) \rangle_{\mathbb{F}_{q^n}}$

MRD codes and cattered polynomials

 $C \leq_{q^n} \mathcal{L}(n,q)$: 2-dim. \mathbb{F}_{q^n} -linear MRD code

Up to equivalence:

$$\mathcal{C} = \langle x, f(x)
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 $\frac{f(y)}{y} = \frac{f(z)}{z} \quad \Rightarrow \quad \frac{y}{z} \in \mathbb{F}_q \quad \text{ scattered polynomial}$

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Many recent papers on scattered polynomials, infinite families, also: q fixed, infinitely many n's

\mathbb{F}_{q} -scattered polynomials over \mathbb{F}_{q^n}

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Exceptional scattered polynomials

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\mathbb{F}_q -scattered polynomials over \mathbb{F}_{q^n}

Scattered monomials of pseudoregulus type (Blokhuis-Lavrauw 2000)

$$f(x) = x^{q^{\ell}} \qquad \gcd(\ell, n) = 1$$

Scattered binomials of LP-type (Lunardon-Polverino 2001)

$$f(x) = \delta x^{q^{n-\ell}} + x^{q^{\ell}} \qquad \gcd(\ell, n) = 1 \quad \delta^{(q^n-1)/(q-1)} \neq 1$$

\mathbb{F}_{a} -scattered polynomials over $\mathbb{F}_{a^{n}}$

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 $\langle x, \delta x^{q^{n-s}} + x^{q^s} \rangle_{\mathbb{F}_{q^n}} \quad \rightsquigarrow \quad \text{right composition with invertible } x^{q^s} \in \mathcal{L}_{n,q}$

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Scattered LP-binomial of index ℓ

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 $\langle C_{\delta} \rangle_{\mathbb{F}_{q^{nm}}} = \langle x^{q^{\ell}}, \, \delta x + x^{q^{2\ell}} \rangle_{\mathbb{F}_{q^{nm}}} \subseteq \mathcal{L}_{nm,q} \quad \text{is MRD iff} \quad \delta^{\frac{q^{nm}-1}{q-1}} \neq 1$ $\implies \quad \text{MRD over infinitely many extensions } \mathbb{F}_{q^{nm}} \text{ of } \mathbb{F}_{q^{n}}$

Exceptional scattered polynomials of index ℓ

 $f(x) = \sum_{i=0}^{n-1} a_i x^{q^i} \in \mathbb{F}_{q^n}[x]$ exceptional scattered of index ℓ : for infinitely many m: for all $y, z \in \mathbb{F}_{q^{nm}}^*$:

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• $x^{q^{\ell}}$, $(n, \ell) = 1 \Rightarrow$ exceptional scattered of index 0 • $\delta x + x^{q^{2\ell}}$, $(n, \ell) = 1$, $\delta^{\frac{q^n - 1}{q - 1}} \neq 1 \Rightarrow$ exceptional scattered of index ℓ

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others ?

$\ell\text{-normalized}$ exceptional scattered polynomials of index ℓ

 $f(x) \in \mathbb{F}_{q^n}[x] \quad \ell ext{-normalized}$ exceptional scattered pol. of index ℓ :

- $0 \le \ell \le n-1$, q-deg $(f) \le n-1$, f(x) is monic
- the coefficient of $x^{q^{\ell}}$ in f(x) is zero
- if $\ell > 0$, then the coefficient of x in f(x) is nonzero

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Classification of exceptional scattered polynomials of index ℓ :

there are only monomials or LP-binomials, if

- $\ell \in \{0, 1, 2\}$: Bartoli-Zhou 2018, Bartoli-Montanucci 2021
- $\max\{\ell, q \cdot \deg(f)\}$ is prime and q is odd : Ferraguti-Micheli 2021

Bartoli-Zhou 2018, Bartoli-Montanucci 2021: $(\ell \leq 2)$

f(x) is scattered of index ℓ over \mathbb{F}_{q^n} if and only if the curve $\mathcal{C}: f(X)Y^{q^{\ell}} - f(Y)X^{q^{\ell}} = 0$ has no \mathbb{F}_{q^n} -rational affine points (\bar{x}, \bar{y}) out of the lines X = 0, $Y = \lambda X$ with $\lambda \in \mathbb{F}_q$

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• Algebraic geometry: analysis of singularities, Hasse-Weil bound

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Ferraguti-Micheli 2021: $(\max\{\ell, q - \deg(f)\})$ prime and q odd)

Galois theory: properties of the geometric and arithmetic Galois groups of the polynomial $f(x) - sx^{q^{\ell}}$ over $\mathbb{F}_{q^n}(s)$ (s transcendental)

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Giulietti-Z.: $(\max\{\ell, q - \deg(f)\} \text{ odd})$

 $\label{eq:Ferraguti-Micheli method + group theory} Ferraguti-Micheli method + group theory$

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 $f(x) \in \mathbb{F}_{q^n}[x] \ \ell$ -normalized, k = q-deg(f), $d = \max\{k, \ell\}$ s transcendental over \mathbb{F}_{q^n} , $F(x) = f(x) - sx^{q^\ell} \in \mathbb{F}_{q^n}(s)[x]$,

 $f(x) \in \mathbb{F}_{q^n}[x] \quad \ell\text{-normalized}, \quad k = q\text{-deg}(f), \quad d = \max\{k, \ell\}$ s transcendental over \mathbb{F}_{q^n} , $F(x) = f(x) - sx^{q^\ell} \in \mathbb{F}_{q^n}(s)[x]$, S : splitting field of F(x) over $\mathbb{F}_{q^n}(s)$ m >> 0 big enough (depending on $S \colon \mathbb{F}_{q^n}(s)$), $S_m = S \cdot \mathbb{F}_{q^{nm}}$ $\mathcal{K}_m = S_m \cap \overline{\mathbb{F}_q}$: field of constants of $S_m \colon \mathbb{F}_{q^{nm}}(s)$

$$\begin{split} f(x) &\in \mathbb{F}_{q^n}[x] \ \ \ell \text{-normalized}, \quad k = q \text{-deg}(f), \quad d = \max\{k, \ell\} \\ s \text{ transcendental over } \mathbb{F}_{q^n}, \quad F(x) = f(x) - sx^{q^\ell} \in \mathbb{F}_{q^n}(s)[x], \\ S : \text{ splitting field of } F(x) \text{ over } \mathbb{F}_{q^n}(s) \\ m &>> 0 \text{ big enough (depending on } S \colon \mathbb{F}_{q^n}(s)), \quad S_m = S \cdot \mathbb{F}_{q^{nm}} \\ \mathcal{K}_m = S_m \cap \overline{\mathbb{F}_q} : \text{ field of constants of } S_m \colon \mathbb{F}_{q^{nm}}(s) \\ G_m^{\text{arith}} = \text{Gal}(S_m \colon \mathbb{F}_{q^{nm}}(s)) : \text{ arithmetic Galois group of } F(x) \\ G_m^{\text{geom}} = \text{Gal}(S_m \colon (\mathbb{F}_{q^{nm}}(s) \cdot \mathcal{K}_m)) : \text{ geometric Galois group of } F(x) \end{split}$$

 $f(x) \in \mathbb{F}_{q^n}[x]$ ℓ -normalized, k = q-deg(f), $d = \max\{k, \ell\}$ s transcendental over \mathbb{F}_{a^n} , $F(x) = f(x) - sx^{q^\ell} \in \mathbb{F}_{a^n}(s)[x]$, S : splitting field of F(x) over $\mathbb{F}_{q^n}(s)$ m >> 0 big enough (depending on $S : \mathbb{F}_{q^n}(s)$), $S_m = S \cdot \mathbb{F}_{q^{nm}}$ $K_m = S_m \cap \overline{\mathbb{F}_a}$: field of constants of S_m : $\mathbb{F}_{a^{nm}}(s)$ $G_m^{\text{arith}} = \text{Gal}(S_m : \mathbb{F}_{q^{nm}}(s))$: arithmetic Galois group of F(x) $G_m^{\text{geom}} = \text{Gal}(S_m : (\mathbb{F}_{q^{nm}}(s) \cdot K_m))$: geometric Galois group of F(x) $\varphi_m : G_m^{\text{arith}} / G_m^{\text{arith}} \to \text{Gal}(K_m : \mathbb{F}_{q^{nm}})$ isomorphism of cyclic groups

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 $\Rightarrow V = \{\text{roots of } F(x)\} : d\text{-dimensional } \mathbb{F}_q\text{-vector space} \\ G_m^{\text{geom}}, G_m^{\text{arith}} \text{ act on } V \text{ as subgroups of } \operatorname{GL}(V, \mathbb{F}_q)$

Scatteredness and linear Galois groups

$$f(x) \in \mathbb{F}_{q^n}[x] \quad \ell \text{-normalized}, \quad k = q \cdot \deg(f), \quad d = \max\{k, \ell\}$$

$$m >> 0, \quad F(x) = f(x) - sx^{q^{\ell}} \in \mathbb{F}_{q^{nm}}(s)[x], \quad V = \{\text{roots of } F(x)\} \cong \mathbb{F}_q^d$$

$$G_m^{\text{arith}}, \quad G_m^{\text{geom}} \leq \operatorname{GL}(d, q) : \text{ arith. and geom. Galois groups of } F(x)$$

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Scatteredness and linear Galois groups

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Theorem (Ferraguti-Micheli)

 $f(x) \in \mathbb{F}_{q^{nm}}[x]$ is scattered of index $\ell \iff$ for all $\alpha \in G_m^{\text{geom}}$, for all $\gamma \in G_m^{\text{arith}}$ with $\varphi_m(\gamma G_m^{\text{geom}})|_{K_m} : x \mapsto x^{q^{nm}}$,

$$\operatorname{rank}_{\mathbb{F}_q}(\alpha\gamma - \operatorname{Id}) \ge d - 1$$

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Scatteredness and linear Galois groups

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Corollary

 $f(x) \in \mathbb{F}_{q^{nm}}[x]$ scattered of index $\ell \implies G_m^{\mathrm{arith}} \neq G_m^{\mathrm{geom}}$

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Exceptional scattered polynomials

June 20, 2024

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Galois groups: **transitive** on the roots of $F(x)/x = f(x)/x - sx^{q^{\ell}-1}$

 \Rightarrow $G_m^{ ext{geom}}, G_m^{ ext{arith}} \leq \operatorname{GL}(d,q)$ are **transitive** on $\mathbb{F}_q^d \setminus \{0\}$

Galois groups: transitive on the roots of $F(x)/x = f(x)/x - sx^{q^{\ell}-1}$

 $\Rightarrow \quad \mathcal{G}^{ ext{geom}}_m, \mathcal{G}^{ ext{arith}}_m \leq \operatorname{GL}(d,q) ext{ are transitive on } \mathbb{F}^d_q \setminus \{0\}$

Theorem (Hering (1968, 1974, 1985))

classification of finite transitive linear groups $G \leq GL(r, p)$

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Theorem (Hering (1968, 1974, 1985)) classification of finite transitive linear groups $G \leq GL(r, p)$ when p is an odd prime

$$\operatorname{GL}(d, q = p^h) \leq \operatorname{GL}(dh, p)$$

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 \Downarrow

Ferraguti-Micheli :

 $\text{if } d \text{ is prime and } q \text{ is odd } \quad \Rightarrow \quad (x^{q^\ell}, f(x)) = (x^{q^d}, x) \quad (\text{monomial case}) \\$

$$G_m^{ ext{geom}} \cong \operatorname{GL}(1, q^d) \qquad G_m^{ ext{arith}} \cong \Gamma \operatorname{L}_q(1, q^d)$$

More from Hering

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classification of finite transitive linear groups $G \leq \operatorname{GL}(d,q)$ for **any** prime power q !

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- $\operatorname{SL}(e,q^{d/e}) \triangleleft G \leq \Gamma \operatorname{L}_q(e,q^{d/e})$ for some divisor $1 \leq e \mid d$
- $\operatorname{Sp}(e,q^{d/e}) \triangleleft G \leq \Gamma \operatorname{L}_q(e,q^{d/e})$ for some even divisor 4 $\leq e \mid d$
- $G_2(2^{d/6})' \triangleleft G \leq \Gamma L_q(6,2^{d/6})$ with q even and $6 \mid d$
- sporadic groups with $q^d \in \{5^2, 7^2, 11^2, 23^2, 29^2, 59^2, 2^4, 3^4, 3^6\}$

here:
$$\Gamma L_q(a, q^b) = \operatorname{GL}(a, q^b) \rtimes \operatorname{Aut}(\mathbb{F}_{q^b}: \mathbb{F}_q)$$

Hering + Ferraguti-Micheli + linear groups

 $f(x) \in \mathbb{F}_{q^n}[x] \ \ell$ -normalized $d = \max\{\ell, q \cdot \deg(f)\}$ m >> 0f(x) exceptional scattered of index ℓ , then:

- $\operatorname{SL}(e,q^{d/e}) \triangleleft G_m^{\operatorname{geom}} \triangleleft G_m^{\operatorname{arith}} \leq \Gamma \operatorname{L}_q(e,q^{d/e})$ for some $1 \leq e \mid d$
- $\operatorname{Sp}(e,q^{d/e}) \triangleleft G_m^{\operatorname{geom}} \triangleleft G_m^{\operatorname{arith}} \leq \Gamma \operatorname{L}_q(e,q^{d/e})$ for some even $4 \leq e \mid d$
- $G_2(2^{d/6})' \triangleleft G_m^{ ext{geom}} \triangleleft G_m^{ ext{arith}} \leq \Gamma L_q(6, 2^{d/6})$ with q even and $6 \mid d$
- $q^d \in \{5^2, 7^2, 11^2, 23^2, 29^2, 59^2, 2^4, 3^4, 3^6\}$

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Hering + Ferraguti-Micheli + linear groups

 $f(x) \in \mathbb{F}_{a^n}[x]$ ℓ -normalized $d = \max\{\ell, q \cdot \deg(f)\}$ m >> 0f(x) exceptional scattered of index ℓ , then:

• $SL(e, q^{d/e}) \triangleleft G_m^{geom} \triangleleft G_m^{arith} < \Gamma L_q(e, q^{d/e})$ for some $1 < e \mid d$ • Sp $(e, q^{d/e}) \triangleleft G_m^{\text{geom}} \triangleleft G_m^{\text{arith}} \leq \Gamma L_q(e, q^{d/e})$ for some even $4 \leq e \mid d$ • $G_2(2^{d/6})' \triangleleft G_m^{\text{geom}} \triangleleft G_m^{\text{arith}} \leq \Gamma L_q(6, 2^{d/6})$ with q even and $6 \mid d$ • $q^d \in \{5^2, 7^2, 11^2, 23^2, 29^2, 59^2, 2^4, 3^4, 3^6\}$

We can exclude a case when:

for any $\gamma \in G_m^{\text{arith}}$, we find $\alpha \in G_m^{\text{geom}}$ such that $\operatorname{rank}_{\mathbb{F}_d}(\alpha \gamma - \operatorname{Id}) < d - 1$

 \Rightarrow contradiction to Ferraguti-Micheli characterization of scatteredness!

Hering + Ferraguti-Micheli + linear groups

 $f(x) \in \mathbb{F}_{q^n}[x] \ \ell$ -normalized $d = \max\{\ell, q \cdot \deg(f)\}$ m >> 0f(x) exceptional scattered of index ℓ , then:

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 \Rightarrow contradiction to Ferraguti-Micheli characterization of scatteredness!

Closer analysis of:

- natural embeddings $\Gamma L_q(e, q^{d/e}) \hookrightarrow \operatorname{GL}(d, q)$
- Linear groups normalizing a group of type SL_{\Box} or Sp_{\Box}

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We can exclude the cases $G \triangleleft G_m^{\text{geom}} \triangleleft G_m^{\text{arith}}$ with

• $G = SL(e, q^{d/e})$ for any $e \ge 3$

• $G = \operatorname{Sp}(e, q^{d/e})$ for any $e \ge 4$

We can exclude the cases $G \triangleleft G_m^{\text{geom}} \triangleleft G_m^{\text{arith}}$ with

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$$G = \operatorname{SL}(e, q^{d/e})$$
 for any $e \geq 3$

•
$$G = \operatorname{Sp}(e, q^{d/e})$$
 for any $e \geq 4$

If $G_m^{\text{arith}} \leq \Gamma L_q(1, q^d)$:

$$\Rightarrow$$
 monomial case, $G_m^{\text{geom}} = \text{GL}(1, q^d)$, $G_m^{\text{arith}} = \Gamma L_q(1, q^d)$

We can exclude the cases $\ \ G \lhd G_m^{\mathrm{geom}} \lhd G_m^{\mathrm{arith}}$ with

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$$G = \operatorname{SL}(e, q^{d/e})$$
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If
$$G_m^{\mathrm{arith}} \leq \Gamma \mathrm{L}_q(1,q^d)$$
 :

$$\Rightarrow$$
 monomial case, ${\cal G}_m^{
m geom}={
m GL}(1,q^d),$ ${\cal G}_m^{
m arith}=\Gamma{
m L}_q(1,q^d)$

Theorem (Giulietti-Z.)

q any prime power, $f(x) \in \mathbb{F}_{q^n}[x]$,

f(x) exceptional scattered of index ℓ + max{ ℓ, q -deg(f)} odd \downarrow f(x) is a monomial of pseudoregulus type

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 $f(x) \in \mathbb{F}_{q^n}[x]$ exceptional scattered of index ℓ f(x) NOT a monomial $d = \max\{\ell, q \cdot \deg(f)\}$ even

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 $f(x) \in \mathbb{F}_{q^n}[x]$ exceptional scattered of index ℓ f(x) NOT a monomial $d = \max\{\ell, q \cdot \deg(f)\}$ even

- $\ \, {\rm SL}(2,q^{d/2}) \triangleleft G^{\rm geom} \triangleleft G^{\rm arith} \leq \Gamma {\rm L}_q(2,q^{d/2})$
- $\hbox{ or } G_2(2^{d/6})' \triangleleft G^{\mathrm{geom}} \triangleleft G^{\mathrm{arith}} \leq \Gamma \mathrm{L}_q(6,2^{d/6}) \ \, \text{with q even and $6 \mid d$ }$
- $\ \, {\pmb 3} \ \, {\pmb q}^d \in \{5^2,7^2,11^2,23^2,29^2,59^2,2^4,3^4,3^6\}$

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 $f(x) \in \mathbb{F}_{a^n}[x]$ exceptional scattered of index ℓ f(x) NOT a monomial $d = \max\{\ell, q \cdot \deg(f)\}$ even

- $I SL(2, q^{d/2}) \triangleleft G^{\text{geom}} \triangleleft G^{\text{arith}} \leq \Gamma L_q(2, q^{d/2})$
- 2 $G_2(2^{d/6})' \triangleleft G^{\text{geom}} \triangleleft G^{\text{arith}} \leq \Gamma L_q(6, 2^{d/6})$ with q even and $6 \mid d$
- **3** $q^d \in \{5^2, 7^2, 11^2, 23^2, 29^2, 59^2, 2^4, 3^4, 3^6\}$

In case SL(2, $q^{d/2}$) : we prove d = q-deg $(f) = 2\ell$

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- $\ \, {\rm SL}(2,q^{d/2}) \triangleleft G^{\rm geom} \triangleleft G^{\rm arith} \leq \Gamma {\rm L}_q(2,q^{d/2})$
- $\begin{array}{l} \textcircled{O} \quad G_2(2^{d/6})' \lhd G^{\text{geom}} \lhd G^{\text{arith}} \leq \Gamma \mathcal{L}_q(6, 2^{d/6}) \quad \text{with } q \text{ even and } 6 \mid d \\ \textcircled{O} \quad q^d \in \{5^2, 7^2, 11^2, 23^2, 29^2, 59^2, 2^4, 3^4, 3^6\} \end{array}$

In case $\operatorname{SL}(2, q^{d/2})$: we prove $d = q \operatorname{-deg}(f) = 2\ell$

Example

LP- binomial
$$f(x) = x^{q^{2\ell}} + \delta x$$
 over $\mathbb{F}_{q^n} \quad \gcd(\ell, n) = 1$ $\delta^{\frac{q^n - 1}{q - 1}} \neq 1$
excetpional scattered of index ℓ

 $G^{ ext{geom}} \cong \operatorname{SL}(2, q^{\ell}) \qquad G^{\operatorname{arith}} \cong \Sigma \operatorname{L}_q(2, q^{\ell}) = \operatorname{SL}(2, q^{\ell}) \rtimes \operatorname{Aut}(\mathbb{F}_{q^{\ell}} \colon \mathbb{F}_q)$

Thank you for your attention!

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